



03500.014023

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	Examiner: A. Patel
TAMAKI KOBAYASHI ET AL.	)	
	:	Group Art Unit: 2879
Application No.: 09/440,535	)	
	:	
Filed: November 16, 1999	)	
	:	
For: SUBSTRATE FOR ELECTRON	)	
SOURCE, ELECTRON SOURCE	:	
AND IMAGE FORMING	)	
APPARATUS, AND	:	
MANUFACTURING METHOD	)	
THEREOF	:	August 6, 2004

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CONSIDERATION OF PREVIOUSLY CITED ART

Sir:

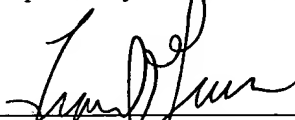
Applicants received a Notice of Allowance and Notice of Allowability dated July 7, 2004 in the above-identified application. The Examiner is sincerely thanked for allowing this application. The Notice of Allowability was accompanied by a Form PTO-1449 which was originally filed with an Information Disclosure Statement on March 23, 2004. However, the Examiner's initials did not appear adjacent to any one of the references listed on the Form PTO-1449 to confirm that the cited references have been

considered and made of record in this application. A copy of the Information Disclosure Statement is attached with a copy of the return-receipt post card evidencing receipt of such document by the Patent and Trademark Office mailroom. If the Examiner requires additional copies of any of the cited references, he is respectfully requested to telephone the undersigned. Applicants have not, to date, received confirmation that the art cited in that document was considered by the Examiner.

Accordingly, to complete the record, Applicants respectfully request that the Examiner forward a copy of initialed Form PTO-1449 confirming that the art cited in the March 23, 2004 Information Disclosure Statement has been considered and made of record herein.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicants  
Frank A. DeLucia  
Registration No. 42,476

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200

NY\_MAIN 445249v1



Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date 3 23 04  
Mo. Day Yr.  
Atty. Docket 03588.014823  
09/440,535

Sir:

Kindly acknowledge receipt of the accompanying:

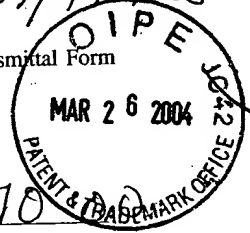
- ☐ Specifications, claims and abstract \_\_\_\_\_ pages, with Transmittal Form
- ☐ Patent Application Bibliographic Data Sheet \_\_\_\_\_ sheets
- ☐ Executed Oath or Declaration and Power of Attorney
- ☐ \_\_\_\_\_ Sheets of \_\_\_\_\_ formal \_\_\_\_\_ informal drawings
- ☐ Check for \$ \_\_\_\_\_ (filing fee)
- ☒ Request for Continued Examination and Check for \$ 770
- ☐ Assignment, PTO-1595 and Check for \$ \_\_\_\_\_
- ☐ Transmittal Under 37 CFR 1.53(d) (CPA)
- ☐ Petition under 37 CFR 1.136 and check for \$ \_\_\_\_\_
- ☒ Other (specify) Information Disclosure Statement plus 10 references

by placing your receiving date stamp hereon and mailing or returning to deliverer.

This is a ☐ Continuation ☐ Divisional ☐ Continuation-In-Part

Atty. YAD/SK 1 Due Date 3 23 04  
Mo. Day Yr.

37 CFR 1.8 ☒  
37 CFR 1.10 ☐  
By Hand ☐



FCIS-A-00

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AND IMAGE FORMING APPARA-	)	
TUS, AND MANUFACTURING	:	
METHOD THEREOF	)	March 23, 2004

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in  
accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first-class mail in an envelope  
addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria,  
VA 22313-1450 on March 23, 2004.

(Date of Deposit)

FRANK A. DeLUCIA (REG. NO. 42,476)

(Name of Attorney for Applicant)

Signature

March 23, 2004

Date of Signature

directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

For the concise explanation of relevance for non-English document 2630988, the Examiner is respectfully referred to the English Abstract attached thereto and to U.S. Patent 4,954,744, and also to the English Abstract of JP1-298624..

For the concise explanation of relevance for non-English document 2630983, the Examiner is respectfully referred to the English Abstract (attached thereto) of Patent Abstract of Japan No. 01-279538..

For the concise explanation of relevance for non-English document 1-298624, the Examiner is respectfully referred to the English Abstract attached thereto and to U.S. Patent 4,954,744.

For the concise explanation of relevance for non-English document 9-293448, the Examiner is respectfully referred to the English Abstract attached thereto.

For the concise explanation of relevance for non-English document 8-329828, the Examiner is respectfully referred to the English Abstract attached thereto.

For the concise explanation of relevance for non-English document 9-27285, the Examiner is respectfully referred to the English Abstract attached thereto.

For the concise explanation of relevance for non-English document 9-22668, the Examiner is respectfully referred to the English Abstract attached thereto.

For the concise explanation of relevance for non-English document 6-267461, the Examiner is respectfully referred to the English Abstract attached thereto.

For the concise explanation of relevance for non-English document 1-279538, the Examiner is respectfully referred to the English Abstract attached thereto.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicants

Registration No. 42,476

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30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200  
416796v1

FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)  Date Submitted to PTO: [DATE]				ATTY DOCKET NO. <b>03500.014023.</b>		APPLICATION NO. <b>09/440,535</b>	
<div style="position: relative; width: 100px; height: 100px; margin: auto;"> <div style="position: absolute; top: 0; left: 0; right: 0; bottom: 0; border: 1px solid black; border-radius: 50%; text-align: center; line-height: 100px; font-size: 12px;">             COPIED              AUG 09 2004              PATENT &amp; TRADEMARK OFFICE           </div> </div>				APPLICANT <b>TAMAKI KOBAYASHI ET AL.</b>			
				FILING DATE <b>NOVEMBER 16, 1999</b>		GROUP <b>2879</b>	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		<b>4,954,744</b>	<b>9/90</b>	<b>Suzuki et al.</b>	<b>313</b>	<b>336</b>	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
		<b>2630988</b>	<b>4/97</b>	<b>JAPAN</b>			<b>ABSTRACT</b>
		<b>2630983</b>	<b>4/97</b>	<b>JAPAN</b>			<b>ABSTRACT</b>
		<b>1-298624</b>	<b>12/89</b>	<b>JAPAN</b>			<b>ABSTRACT</b>
		<b>9-293448</b>	<b>11/97</b>	<b>JAPAN</b>			<b>ABSTRACT</b>
		<b>8-329828</b>	<b>12/96</b>	<b>JAPAN</b>			<b>ABSTRACT</b>
		<b>9-27285</b>	<b>1/97</b>	<b>JAPAN</b>			<b>ABSTRACT</b>
		<b>9-22668</b>	<b>1/97</b>	<b>JAPAN</b>			<b>ABSTRACT</b>
		<b>6-267461</b>	<b>9/94</b>	<b>JAPAN</b>			<b>ABSTRACT</b>
		<b>1-279538</b>	<b>11/89</b>	<b>JAPAN</b>			<b>ABSTRACT</b>
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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